

FORM PTO-1449

SERIAL NO.

09/775,242

CASE NO.

8864/28

1IST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(use several sheets if necessary)

APPLICANT: Griffith D. Neal

DEEEDENCE DESIGNATION II S DATENT DOCUMENTS

REFERENCE	DESIG		U.S. PATEN	T DOCUMENTS		
EXAMINER		DOCUMENT			CLASS/	FILING
INITIAL		NUMBER	DATE	NAME	SUBCLASS	DATE
no	A1	3,590,328	06/29/1971	Bert L. Frescura	1	
	A2	3,638,055	01/25/1972	Zimmermann		
	A3	3,874,073	04/01/1975	Dochterman et al.		
	A4	3,942,054	03/02/1976	Kristen et al.		
	A5	3,979,530	09/07/1976	Schwider et al.		
	A6	4,128,527	12/05/1978	Kinjo et al.		
	A7	4,352,897	10/05/1982	Ogata et al.		
	A8	4,387,311	06/07/1983	Kobayashi et al.		
	A9	4,492,889	01/08/1985	Fukushi et al.		
	A10	4,572,979	02/25/1986	Haar et al.		
	A11	4,679,313	07/14/1987	Schultz et al.		
	A12	4,760,299	07/26/1988	Dickie et al.		
	A13	4,801,833	01/31/1989	Dye		
	A14	4,853,576	08/01/1989	Mayumi et al.		
	A15	4,858,073	08/15/1989	Gregory		
	A16	4,868,970	09/26/1989	Schultz et al.		
	A17	4,954,739	09/04/1990	Schultz et al.		
	A18	5,008,572	04/16/1991	Marshall et al.		
	A19	5,036,580	08/06/1991	Fox et al.		
	A20	5,073,735	12/17/1991	Takagi		
	A21	5,075,585	12/24/1991	Teruyama et al.		
	A22	5,121,021	06/09/1992	Ward		
	A23	5,134,327	07/28/1992	Sumi et al.		
	A24	5,142,103	08/25/1992	Stine		
	A25	5,147,982	09/15/1992	Steffen		
	A26	5,206,554	04/27/1993	Perrot		
	A27	5.268,607	12/07/1993	McManus		
	A28	5,334,897	08/02/1994	Ineson et al.		
	A29	5,345,129	09/06/1994	Molnar		
	A30	5,382,852	01/17/1995	Yuhi et al.		
	A31	5,396,210	03/07/1995	Purohit et al.		
	A32	5,400,218	03/21/1995	Val		
1/	A33	5,414,317	05/09/1995	Reid et al.		
1/	A34	5,461,772	10/31/1995	Puri		
15/	A35	5,500,780	03/19/1996	Boutaghou et al.		
-+-	A36	5,506,458	04/09/1996	Pace et al.		
$\overline{}$	A37	5,541,787	07/30/1996	Jabbari et al.		
XAMINER		169ch			19-14-02	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99
J:\michele\Patent Projects\8864-28 PTO 1449 S Patel.doc

Page 2 of 4

FORM PTO-1449

LIST OF PATENTS AND RUBLICATION FOR APPLICANT'S INFORMATION DISCUSSIVE STATEMENT

(use several sheets if necessary)

SERIAL NO.

09/798,511

FILING DATE

March 2, 2001

GROUP ART UNIT

APPLICANT(S): Griffith D. Neal

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS/ SUBCLASS	FILING DATE
200	A38	5,548,458	08/20/1996	Pelstring et al.		1	
	A39	5,558,445	09/24/1996	Chen et al.			
	A40	5,579,188	11/26/1996	Dunfield et al.			
	A41	5,587,617	12/24/1996	Dunfield et al.			
	A42	5,598,048	01/28/1997	Dunfield et al.		/	
	A43	5,610,463	03/11/1997	Dunfield et al.			
	A44	5,619,083	04/08/1997	Dunfield et al.			
	A45	5,619,389	04/08/1997	Dunfield et al.			
7	A46	5,621,372	04/15/1997	Purohit			
	A47	5,633,545	05/27/1997	Albrecht et al.			
	A48	5,666,242	09/09/1997	Edwards et al.			
	A49	5,668,427	09/16/1997	Morita			
	A50	5,672,927	09/30/1997	Viskochil			
	A51	5,675,196	10/07/1997	Huang et al.			
	A52	5,694,268	12/02/1997	Dunfield et al.			
	A53	5,698,919	12/16/1998	Obara			
	A54	5,728,600	03/17/1998	Saxelby, Jr. et al.			
	A55	5,729,404	03/17/1998	Dunfield et al.			
	A56	5,742,450	04/21/1998	Moser			
	A57	5,751,085	05/12/1998	Hayashi			
	A58	5,751,514	05/12/1998	Hyde et al.			
	A59	5,766,535	06/16/1998	Ong			
	A60	5,783,888	07/21/1998	Yamano			
	A61	5,806,169	09/15/1998	Trago et al.			
	A62	5,814,412	09/29/1998	Terada et al.			
	A63	5,850,318	12/15/1998	Dunfield			
	A64	5,880,179	03/09/1999	Ito et al.			
	A65	5,881,447	03/16/1999	Molnar			
	A66	5,942,824	08/24/1999	Shioya et al.			
	A67	5,958,466	09/28/1999	Ong			
	A68	5,973,424	10/26/1999	Engelberger et al.	$\neg \neg \neg$		
	A69	5,982,057	11/09/1999	Imada et al.			
	A70	5,986,365	11/16/1999	Kuwert et al.			
	A71	5,990,247	11/23/1999	Terada et al.			
	A72	6,002,185	12/14/1999	Nakao et al.			
1	A73	6,019,516	02/01/2000	Leuthold et al.	- 17		
	A74	6,020,661	02/01/2000	Trago et al.			
\ //	A75	6,034,841	03/07/2000	Albrecht et al.			
	A76	6,043,583	03/28/2000	Kurosawa et al.	l		

EXAMINER ZOLL DATE CONSIDERED /2-14-02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 3 of 4

FORM PTO-1449

SERIAL NO.

09/798,511

CASE NO.

8864/20

GROUP ART UNIT

March 2, 2001

(use several sheets if necessary)

APPLICANT(S): Griffith D. Neal

REFERENCE DESIGNATION		U.S. PATEN	IT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A77	6,071,014	06/06/2000	Lee et al.		
	A78	6 075 304	06/13/2000	Nakatsuka		

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
no	A79	DT 25 39 492 A1	03/10/77	Germany		Abstract
1	A80	870.878	01/15/79	Belgium		Abstract
	A81	891.258	03/16/82	Belgium		Abstract
	A82	SU 1334297	08/30/87	Soviet Union		Abstract
	A83	SU 1494148	07/15/89	Soviet Union		Abstract
	A84	2 647 958	12/07/90	France		Abstract
	A85	WO 92/06532	04/16/92	PCT		
	A86	05336722	12/17/93	Japan		Abstract
	A87	WO 96/20501	07/04/96	PCT		
	A88	WO 96/33533	10/24/96	PCT		
	A89	WO 97/39870	10/30/97	PCT	17	
	A90	EP 0 747 943 A2	12/11/96	EPO	17	
1	A91	10070870	03/10/98	Japan	1/	Abstract
.   /	A92	410271719	10/09/1998	Japan		Abstract
11/	A93	EP 0 883 171 A1	12/09/98	EPO	1	Abstract
	A94	11082508	03/26/99	Japan	/	Abstract

EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
Tw	A95	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive				
L		Composites," undated (2 pages)				
	A96	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic				
1 1		Thermoplastic Encapsulation," undated, Publ. Reorder No.: H-58633 (R, 96.7), 20 pages.				
	A97	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of				
1 /		Thermally Conductive Compounds," January 28, 1999 (2 pages)				
	A98	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down				
1 1		Time and Keeps Equipment Running Longer," 07/14/99,				
1 1		<a href="http://www.bmwworks.com/VIP.htm">http://www.bmwworks.com/VIP.htm</a> ,1 page.				
	A99					
1.1/		(VPI) Systems", 11/19/99, <a href="http://www.epoxylite.com/EpoxyliteEquipment.htm">http://www.epoxylite.com/EpoxyliteEquipment.htm</a> , 3 pages.				
	A100					
		11/19/99, <a href="http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm">http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm</a> 2 pages.				

EXAMINER	Wales	DATE CONSIDERED	12-14-02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



APPLICANT(S): Griffith D. Neal

ſ	EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	Sw	A101	Copy of Search Report for PCT Application No. US00/19870 filed on July 19, 2000 (19.07.00) which is for a corresponding PCT case filed by the assignee Encap Motors Corporation who is also the assignee of this US application

EXAMINER INITIAL			II S Pater	nt Applications
		Filing Date	Serial No.	к дрисилого
Ju	A102	Dec. 22, 1999	09/470,424	copy of claims as filed enclosed
	A103	Dec. 22, 1999	09/470,425	copy of claims as filed enclosed
1.	A104	Dec. 22, 1999	09/470,426	copy of claims as filed enclosed
	A105	Dec. 22, 1999	09/470,427	copy of claims as filed enclosed
	A106	Dec. 22, 1999	09/470,428	copy of claims as filed enclosed
	A107	Dec. 22, 1999	09/470,429	copy of claims as filed enclosed
	A108	Dec. 22, 1999	09/470,430	copy of claims as filed enclosed
	A109	Dec. 22, 1999	09/470,431	copy of claims as filed enclosed
	A110	Dec. 22, 1999	09/470,432	copy of claims as filed enclosed
	A111	Dec. 22, 1999	09/470,433	copy of claims as filed enclosed
$\overline{M}$	A112	Dec. 22, 1999	09/470,434	copy of claims as filed enclosed
	A113	Dec. 15, 2000	09/738,268	copy of claims as filed enclosed
	A114	Feb. 1, 2001	09/775,242	copy of claims as filed enclosed

EXAMINER	Males	DATE CONSIDERED	12-14-02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Feb.-97

FORM PTO-1449

(use several sheets if necessary)